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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,267	12/01/2000	Patrick Brant	2000U039.US

Univation Technologies, LLC
5555 San Felipe, Suite 1950
Houston, TX 77056



CONFIRMATION NO. 3835

FORMALITIES LETTER



OC000000005669838

Date Mailed: 02/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

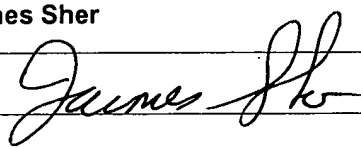
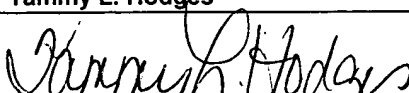
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/05/2001 AGOITOH 00000146 500589 09728267
01 FC:105 130.00 CH

#3

\$ Sector

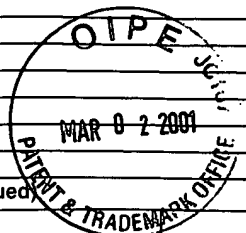
<h1 style="margin: 0;">TRANSMITTAL FORM</h1> <p style="margin: 0;">(to be used for all correspondence after initial filing)</p>		Application Number		09/728,267				
		Filing Date		December 1, 2000				
		First Named Inventor		Brant, et al.				
		Group Art Unit		1755				
		Examiner Name		Unassigned				
Total Number of Pages in This Submission		6		Attorney Docket Number		2000U039.US		
ENCLOSURES (check all that apply)								
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Preliminary Amendment / Response Non-Fee Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents) <input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts			<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund			<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Return Postcard</u>		
			REMARKS					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Or Individual name		Jaimes Sher			Registration No.			34,726
Signature								
Date		February 27, 2001						
CERTIFICATE OF MAILING								
I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 27, 2001								
Typed or printed name		Tammy L. Hodges						
Signature					Date		February 27, 2001	

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Complete if Known

Application Number 09/728,267
Filing Date December 01, 2000
Inventors Brant, et al.
Group Art Unit 1755
Examiner Name Unassigned
Attorney Docket Number 2000U039.US



Total Amount of Payment (\$130.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101 760	Utility filing fee		
106 310	Design filing fee		
107 480	Plant filing fee		
108 760	Reissue filing fee		
114 150	Provisional filing fee		
SUBTOTAL (1)			

2. CLAIMS

Extra Fee from below Fee Paid

Total Claims - 20 = x 18 =

Independent Claims - 3 = x 78 =

Multiple Dependent Claims x 260.00 =

Large Entity

Fee Code	Fee\$	Fee Description
103 18		Claims in excess of 20
102 78		Independent claims in excess of 3
104 260		Multiple dependent claim
109 78		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105 130		Surcharge - late filing fee or oath	130.00
127 50		Surcharge - late provisional filing or cover sheet.	
139 130		Non-English specification	
147 2,520		For filing a request for reexamination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		Requesting publication of SIR after Examiner action	
115 110		Extension for reply within first month	
116 380		Extension for reply within second month	
117 870		Extension for reply within third month	
118 1,360		Extension for reply within fourth month	
128 1,850		Extension for reply within fifth month	
119 300		Notice of Appeal	
120 300		Filing a brief in support of an appeal	
121 260		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive - unavoidable	
141 1,210		Petition to revive - unintentional	
142 1,210		Utility issue fee (or reissue)	
143 430		Design issue fee	
144 580		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petitions related to provisional applications	
126 240		Submission of information Disclosure Stmt.	
581 40		Recording each patent assignment per property (times number of properties)	
146 760		Filing a submission after final rejection (37 CFR 1.129(a))	
149 760		For each additional invention to be examiner (37 CFR 1.129(b))	
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			130.00

Typed or Printed Name

Jaimes Sher

Reg. No.

34,726

Signature

Jaimes Sher

Date

February 27, 2001

Deposit Account User ID